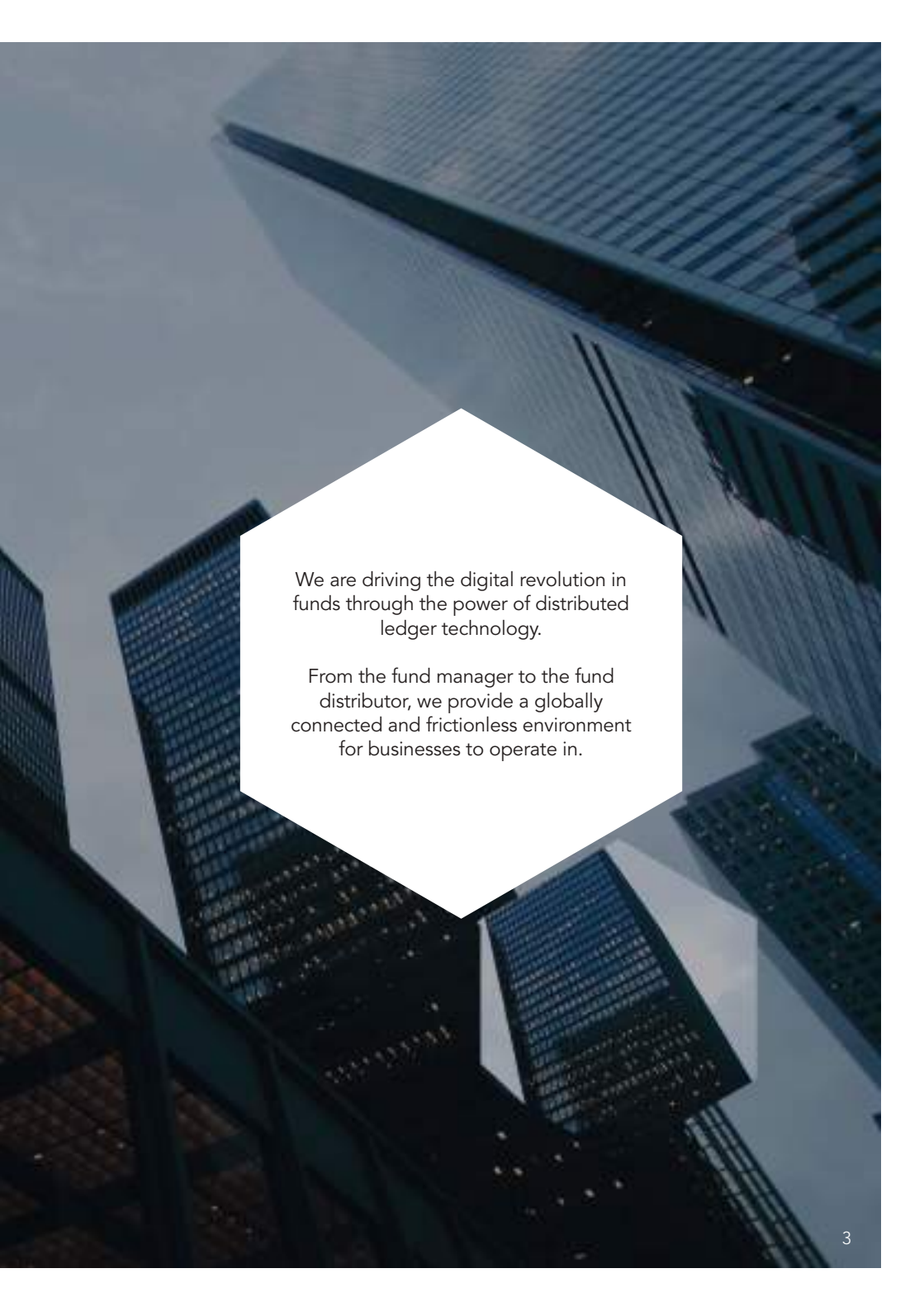




**CONNECTING THE  
WORLD'S LARGEST  
COMMUNITY OF FUNDS** \_\_\_\_\_





We are driving the digital revolution in funds through the power of distributed ledger technology.

From the fund manager to the fund distributor, we provide a globally connected and frictionless environment for businesses to operate in.





# CONTENTS

**06** Making funds accessible  
to everyone

---

**12** Full digitilisation and  
automation

---

**14** Our solutions

---

**18** Connecting the world's  
largest community of funds


---

**20** About Calastone

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The background of the entire page is a high-angle, nighttime photograph of a city skyline, likely New York City, with numerous skyscrapers illuminated by city lights. In the top right corner, there is a logo consisting of several triangles of varying sizes and orientations, some filled with a light blue color and others outlined in white.

# MAKING FUNDS ACCESSIBLE TO EVERYONE

A dark, semi-transparent hexagonal graphic is positioned in the lower right quadrant of the image. It contains a white geometric pattern of interconnected lines forming a network or web-like structure.

Calastone is the largest  
global funds network,  
connecting the world's  
leading financial  
organisations.









# HELPING THE INDUSTRY TRANSFORM AND INNOVATE

At Calastone, we've made it our mission to help the asset management industry transform and innovate. To find new ways to streamline the global funds marketplace for the future and reduce frictional costs for the benefit of all.

When we were founded, we started to ask why so much value of an investment is lost during the process of investing. We found the industry burdened with inefficiency and manual processes, adding significant cost. We created our network, allowing for the first time digital connectivity for market participants across the globe, slashing costs and reducing risk. Calastone has now grown to become the largest global fund network.

Through our continued investment in technology and our Distributed Market Infrastructure (DMI) we can do more than ever. Distributed ledger technology allows us to extend and drive the digital revolution in funds, ensuring that the industry can continually evolve and

strengthen. We estimate that over £3.4bn of cost is lost each year during the investment process, which could be saved through our common, shared market infrastructure.

As the market becomes increasingly competitive and pressured, Calastone ensures that businesses across the entire funds industry, from the fund manager to the distributor, can operate in a fully automated environment, globally connected and frictionless.

We offer a range of solutions across the entire process of trading a fund, allowing the industry to evolve and improve their businesses, enabling scale to innovate and innovation to scale. Through this, we generate the opportunity for the industry to deliver greater value back to the end investor.

## Seamless connectivity

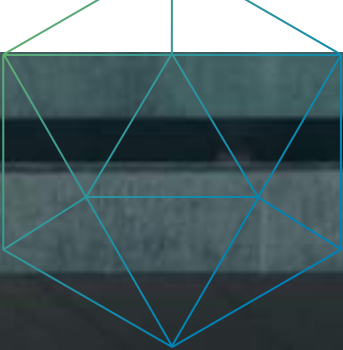
Regardless of role or location, we enable easy market access to funds for

businesses across the globe, providing greater choice and value for the investor.

We make it our mission each day to ensure that our clients benefit from completely seamless and uninterrupted connectivity, so we are always on-hand to help, providing support worldwide. Our 24-hour support service is available in multiple languages, 5.5 days a week, ensuring that we are always available when the market is open.

To ensure our clients receive the best possible service, we proactively track and manage every order throughout its lifecycle. This enables any queries to be solved immediately and in many cases the avoidance of any incident entirely.

Our clients can trade with confidence as our continued investment in technology and business continuity practices ensure our network is fully resilient, reliable and always functions at full capacity regardless of circumstance.



We process over £180 billion of  
investment value each month

**£180<sup>bn</sup>**





# FULL DIGITALISATION AND AUTOMATION

Two thick diagonal lines, one teal and one green, intersect on a white background. The teal line runs from the bottom left towards the top right, and the green line runs from the top left towards the bottom right.

## Blockchain enabled

We connect the largest global community of funds, creating a frictionless, digital marketplace, enabling value for participants across the transaction chain through our Distributed Market Infrastructure.

Our network brings together the entire fund trading process. From order routing through to settlements, transfers and dividends, we support all transactional requirements every step of the way.





# OUR SOLUTIONS

## Order Routing and Settlements

We connect the order routing and settlement processes to give our network of fund managers, transfer agents and distributors a real-time view of their fund orders and settlement positions.

Our order routing and settlements services lets fund managers and distributors service their investors with more accurate, reliable and timely information, from trade confirmations to reporting, while providing them with the security of a proven and robust trading environment.

## Sub-register

Through the DMI we provide a sub-register, creating shared, real-time views of the registers between trading partners at any point in the distribution chain. By creating an external, reliable and accurate history of all orders and registry events, the Sub-register becomes a critical part of the business continuity planning for clients. It allows them to understand and access trading information in real-time, helping them to create plans for outages in various parts of the administration chain.

## Transfers

Calastone Transfers automates the transfer of client fund holdings between fund platforms without their investments being redeemed.

Developed in collaboration with clients across the world, our solution harmonises the transfers process both at a domestic and cross-border level. Clients can then benefit from reduced internal costs, freed up resources and enhanced client service.

Our solution also improves the investor experience, as they are able to transfer their assets more quickly and more safely, with guaranteed certainty and transparency.

## Dividends

Calastone Dividends fully automates the communication of dividend information for faster, more efficient processing by transfer agents and distributors.

Our solution ensures that clients can focus on providing a better service to their investors, with prompter dividend payment processing and a reduction in risk.





## Information Services

### **Reporting**

We simplify how fund managers, or their transfer agents, deliver statement of holdings and statement of transactions to their distributors by automating the delivery of them using their channel and format of choice.

## Money Market Services

Our Money Market Services (MMS) simplify the liquidity markets ecosystem by directly connecting liquidity fund providers to treasurers and their treasury management systems with a digitised, end-to-end service, from trade placement to reporting. This cuts cost and risk for liquidity fund managers by reducing manual interventions across the value chain.





CONNECTING THE  
WORLD'S LARGEST  
COMMUNITY OF  
FUNDS





# 1.9+K Clients

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More than 1,900 clients  
process domestic and cross-  
border trades through our  
network

# 20+K Links

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We maintain in excess of  
20,000+ trading links

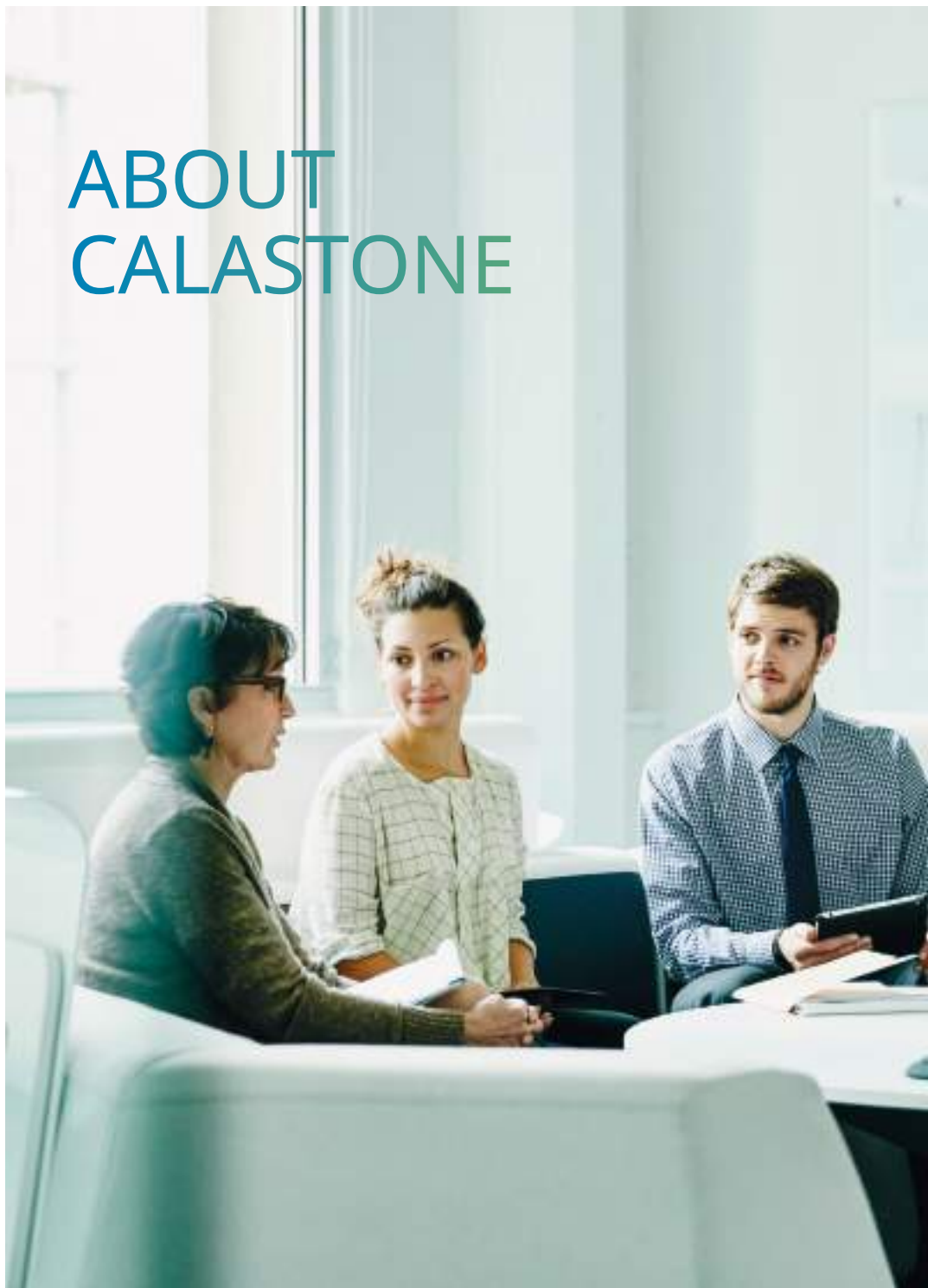
# 41 Markets


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We connect funds across  
41 different countries and  
territories for truly  
global coverage

Connecting to us gives clients  
access to the largest global funds  
network, enabling automation  
across connections around the  
world

# ABOUT CALASTONE





Calastone is the largest global funds network, connecting the world's leading financial organisations.

Our mission is to help the asset management industry transform by creating innovative new ways to automate and digitalise the global investment funds marketplace, reducing frictional costs and lowering operational risk to the benefit of all. Through this, we generate the opportunity

for the industry to deliver greater value back to the end investor.

Over 1,900 clients in 41 countries and territories benefit from Calastone's services, processing £180 billion of investment value each month.

Calastone is headquartered in London and has offices in Luxembourg, Hong Kong, Taiwan, Singapore and Sydney.

# OUR OFFICES

## LONDON

Birchin Court  
20 Birchin Lane  
London EC3V 9DU  
Tel. +44 (0)20 3700 4100

## LUXEMBOURG

33 rue du Puits Romain  
Bertrange  
L-8070 Luxembourg  
Tel. +352 27 11 3062

## AUSTRALIA

Suite 301, 45 Lime Street  
King Street Wharf  
Sydney, NSW 2000  
Tel. +61 (0)2 8318 3601

## HONG KONG

Unit 1001, 10/F  
Lippo Centre Tower 2  
89 Queensway  
Hong Kong  
Hong Kong Tel. +852 3898  
1707  
Taiwan Tel: +886 2 5594 1247

## SINGAPORE

8 Marina Viewn  
#0704  
Asia Square, Tower 1  
18960 Singapore  
Tel. +65 6407 1070

## UNITED STATES

90 Madison Avenue  
New York  
New York  
10022  
United States  
Tel. +1 212 521 4234







For further information please  
visit: [www.calastone.com](http://www.calastone.com)



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Calastone

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